



# Application for License

## REGULATED METALS

This application is for businesses  LIMITED LIABILITY COMPANY  CORPORATION in the State of Rhode Island

New Application  Renewal Application **FEDERAL ID/EIN NUMBER** \_\_\_\_\_

All lines **MUST** be complete or N/A if not applicable. Application **MUST** be typed or printed.

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1. \_\_\_\_\_  
NAME OF LIMITED LIABILITY COMPANY      DATE OF LIMITED LIABILITY COMPANY      STATE OF LIMITED LIABILITY COMPANY

2. \_\_\_\_\_  
BUSINESS NAME      BUSINESS TELEPHONE NUMBER

3. \_\_\_\_\_  
STREET ADDRESS      CITY      STATE      ZIP

4. \_\_\_\_\_  
NORMAL BUSINESS HOURS      DAYS OF OPERATION      DATE BEGINNING BUSINESS

5. \_\_\_\_\_  
BRANCH NAME      STREET      CITY      STATE      ZIP      TELEPHONE

6a. \_\_\_\_\_  
LLC PRESIDENT      RESIDENCE ADDRESS      CITY      STATE      SOCIAL SECURITY      DATE OF BIRTH

6b. \_\_\_\_\_  
LLC VICE PRESIDENT      RESIDENCE ADDRESS      CITY      STATE      SOCIAL SECURITY      DATE OF BIRTH

6c. \_\_\_\_\_  
LLC SECRETARY      RESIDENCE ADDRESS      CITY      STATE      SOCIAL SECURITY      DATE OF BIRTH

6d. \_\_\_\_\_  
LLC TREASURER      RESIDENCE ADDRESS      CITY      STATE      SOCIAL SECURITY      DATE OF BIRTH

7. Do you buy goods other than at your office? If YES explain on line #15.      YES \_\_\_ NO \_\_\_

8. Have you ever been refused, suspended or revoked a license, permit or identification card to operate a regulated metals business or to act as an agent of such business in this state or lawful jurisdiction? If YES, explain on line #15.      YES \_\_\_ NO \_\_\_

9. Has any owner, partner, director, officer, member, or stockholder of the applicant's business ever had a license to operate as a tools/electronics business or operate as an agent of a regulated metals business, refused, suspended, or revoked in either this state or any other jurisdiction? If YES, explain on line #15.      YES \_\_\_ NO \_\_\_

**Please complete, sign and notarize the reverse side**

10. Have you ever been arrested either in this state or any other jurisdiction?  
If YES explain on line #15. YES \_\_\_ NO \_\_\_

11. Have you ever been convicted of a crime in either this state or any other jurisdiction?  
If YES explain on line #15. YES \_\_\_ NO \_\_\_

12. Have you ever pled guilty or Nolo Contendere to any crime, charge or violation in this state or any other jurisdiction?  
If YES explain on line #15. YES \_\_\_ NO \_\_\_

13. Have you knowledge of any individual associated with your regulated metals business, either owner, partner, employee, or principal LLC officer, being arrested or convicted of any offense in this state or any other jurisdiction?  
If YES explain on line #15. YES \_\_\_ NO \_\_\_

14. Attach to this application a list of names, residential addresses, dates of birth and social security numbers of ALL agents or employees to be engaged in buying regulated metals. NONE \_\_\_\_\_

15. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

16. Have you ever been placed on probation for any crime, charge or violation in this state or any other jurisdiction?  
If YES, explain on line #15. YES \_\_\_ NO \_\_\_

I, the undersigned, have read and understand the provisions of title 6, chapter 11.2, inclusive, of the Rhode Island General Law pertaining to the regulation of the tools/electronics business and agents. I hereby apply for a license pursuant to the provisions of title 6, chapter 11.2 of the Rhode Island General Law and make oath to the truth and accuracy of all statements, answers, and representations made in this application, including all supplementary statements hereto attached. I acknowledge that any false or incorrect information contained within this application may subject me to criminal prosecution under Rhode Island General Law 11-18-1 and/or denial of my application for license for the purchase of regulated metals.

Signature of applicant: \_\_\_\_\_ Date signed: \_\_\_\_\_

Subscribed and sworn to at \_\_\_\_\_, before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_. My commission expires, \_\_\_\_\_.  
NOTARY PUBLIC

Make check(s) payable to: Department of Attorney General

License fee..... \$ 70.00 (This applies to NEW or RENEWAL licenses )

Mail to: Department of Attorney General  
Precious Metals Licenses  
150 South Main Street  
Providence, Rhode Island 02903